

**UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF MICHIGAN
SOUTHERN DIVISION**

GLS LEASCO, INC.,

Plaintiff,

vs.

NAVISTAR, INC.,

Defendant.

Case No: 2:23-cv-12927-MAG-APP

Hon. Mark A. Goldsmith

Magistrate Judge Anthony P. Patti

STIPULATION REGARDING ESI PRODUCTION SPECIFICATIONS

IT IS HEREBY STIPULATED by and between GLS Leasco, Inc. (“GLS”) and Defendant Navistar, Inc. (“Navistar”), by and through their respective counsel, that the Parties shall produce documents in discovery pursuant to the ESI Production Specifications set forth below.

1. PURPOSES AND LIMITATIONS

GLS and Navistar (collectively, the “Parties”) mutually agree to the following protocol (“Protocol”) regarding the discovery of electronically stored information (“ESI”) and hard copy documents in the above-captioned case. This Protocol is intended to provide for effective and efficient discovery in accordance with the Federal Rules of Civil Procedure. Nothing in this Protocol is intended to alter, expand, or affect any party’s rights or obligations under any order by the Court or the Federal Rules of Civil Procedure. In addition, nothing in this Protocol constitutes

an admission by any party that any particular category of discovery is appropriate in this Action or that there exists discoverable ESI.

2. DEFINITIONS

2.1 Action: the above-captioned matter.

2.2 Document: a collection of pages or file(s) constituting a logical single communication of information produced or inspected as a single record pursuant to Federal Rules of Civil Procedure 26 and 34, including hard copy and electronically stored information.

2.3 Electronically Stored Information or “ESI”: information or data that is originally created, edited, used, communicated, and stored in digital format.

2.4 Native Format or Native File: the associated file format defined by the original application with which an electronic file was created.

2.5 Hard Copy Document: any Document kept in physical, paper form, as opposed to electronic form, in the usual course of business.

2.6 Metadata: (i) information embedded in or associated with a Native File that is not ordinarily viewable or printable from the application that generated, edited, or modified such Native File which describes the characteristics, origins, usage or validity of the electronic file or (ii) information generated automatically by the operation of a computer or other information technology system when a Native

File is created, modified, transmitted, deleted or otherwise manipulated by a user of such system.

2.7 Bates number: a page-level unique alpha-numeric identifier associated with every document produced, including physical sheets of paper, electronically stored TIFF images, or other tangible thing, consisting of (1) an alphabetic portion identifying the Producing Party and/or other characteristics of the production; and (2) a numeric portion incremented according to a scheme defined at the Producing Party's discretion to ensure that the alphanumeric identifier for each page of physical sheets of paper, electronically stored TIFF images, or other tangible thing is unique. Document-level Bates numbers may be appropriate in limited circumstances such as the production of native files.

2.8 Extracted Text: the text extracted from a Document, and includes all header, footer, and document body information when available.

2.9 OCR Text: searchable text from a Document generated by means of optical character recognition performed using appropriate software.

2.10 Load File: an electronic file containing information identifying a set of paper-scanned images or processed ESI and indicating where individual pages or files belong together as documents, including attachments, and where each document begins and ends. A Load File should also contain data relevant to the

individual Documents, including extracted and user-created Metadata as required by Exhibit A, to the extent available.

2.11 Discoverable Information: all documents and ESI that are discoverable in this litigation pursuant to the Federal Rules of Civil Procedure; that are responsive to specific requests for production; and that are not subject to an objection asserted by the Producing Party.

2.12 Outside Counsel: the attorneys and employees of any external law firm representing a Party in this Action.

2.13 In-house Counsel: the attorneys employed directly by a Party, including staff and other legal professionals acting at their direction.

2.14 Producing Party: a party which is producing Discoverable Information.

2.15 Receiving Party: a party which is receiving Discoverable Information.

2.16 Sensitive Personal Identifying Information: includes information protected from disclosure by specific laws or standards as well as sensitive personal information which is not likely to be relevant to the issues in this matter, including, but not limited to:

- Protected Health Information that is protected from public disclosure by the Health Insurance Portability and Accountability Act of 1996, pursuant to Title 45, Chapter 164 of the Code of Federal Regulations;

- Payment Card Information that identifies payment card details, account numbers of individual consumers; and
- Social Security numbers, driver's license number, taxpayer identification numbers, passport numbers, state-issued identification numbers, financial account numbers, dates of birth, home address, personal mobile telephone number or personal email address.

3. COOPERATION AND PROPORTIONALITY

3.1 The Parties agree to meet and confer regarding any disagreements that arise as a result of the implementation of this Protocol. If either Party encounters an issue that the Party believes to justify deviation from the ESI Production Specifications, that Party shall promptly meet and confer with the opposing Party.

4. IDENTIFICATION AND PRODUCTION OF POTENTIALLY DISCOVERABLE INFORMATION

4.1 Production in Accordance with Federal Rules. The Parties agree to identify, collect, and produce responsive documents and ESI in accordance with the Federal Rules of Civil Procedure.

4.2 Searching ESI for Responsive Information. Each Producing Party shall design and implement the methods it uses to identify, cull, and review its potentially responsive ESI based on its knowledge and understanding of its own data, the facts and issues involved in the Action, and the Receiving Party's discovery requests. A Producing Party may apply search terms to appropriate sources and may also

conduct a targeted collection of sources likely to contain responsive materials. The Parties agree, at the request of a Party, to disclose search terms and sources used to cull potentially responsive ESI, including custodians.

4.3 Privacy Redactions. Producing Parties may redact Sensitive Personal Identifying Information from responsive Documents prior to production. Any Receiving Party who receives Documents including Sensitive Personal Identifying Information shall treat such Documents as Confidential pursuant to the terms of the Stipulated Protective Order entered in this matter.

4.4 Phased Production. Producing Parties may phase the production of Documents in accordance with Rule 34 of the Federal Rules of Civil Procedure.

4.5 Deduplication. Each Party shall make reasonable efforts to remove duplicate ESI, including without limitation electronic mail, to reduce unnecessary cost of reviewing and producing duplicative ESI.

4.6 Review and Production of Hard Copy Documents. Each Producing Party is entitled to collect, scan, and review its Hard Copy Documents before producing only those documents which are responsive and not privileged or subject to other protection in the document production format described in Exhibit A. In advance of production, the Producing Party may offer the Receiving Party the opportunity to review some or all of its Hard Copy Documents as those documents are maintained in the ordinary course of business (which shall include any available

index to the boxes and files contained therein prepared by the Producing Party) so that the Receiving Party may identify and designate which, if any, of the documents it actually wants to select for scanning and production. This advance review shall not constitute a waiver of any privileges or protections. The Producing Party shall have the right to review any selected documents for privilege, responsiveness and confidentiality before production.

4.7 Production Format. Where practicable, the Parties shall produce documents and ESI in compliance with the format specified in Exhibit A, attached hereto. To the extent a Producing Party reasonably expects production of specific documents or ESI in the format described in Exhibit A will be unduly burdensome, the Parties will meet and confer in good faith to attempt to agree on an acceptable format for production pursuant to Federal Rule of Civil Procedure 34(b)(2)(D).

5. NON-WAIVER OF PRIVILEGE

5.1 Producing parties are not required to produce Documents until a protective order is entered in this matter which includes protections against the waiver of privilege pursuant to Federal Rule of Evidence 502(d).

5.2 Nothing contained herein is intended to or shall serve to limit a Party's right to conduct a review of documents, ESI, or information (including metadata) for relevance, responsiveness, and/or segregation of privileged and/or protected information before production.

6. PREPARATION OF PRIVILEGE LOGS

6.1 The obligation to provide a log of privileged or work product materials pursuant to Federal Rule of Civil Procedure 26(b)(5) presumptively shall not apply to:

- (a) Communications between a Party or its Outside or In-House Counsel and any expert or consultant retained for, or consulted with, regarding this litigation or any issues related to the issues in this litigation;
- (b) Documents created on or after the filing of the Complaint in this Action.

6.4 The Parties will meet and confer on the deadlines for the Parties to exchange privilege logs and set the deadline or deadlines for the exchange of privilege logs by agreement.

7. MODIFICATION

This Protocol may be modified by further agreement in writing by the Parties.

SO ORDERED.

Dated: January 19, 2024
Detroit, Michigan

s/Mark A. Goldsmith
MARK A. GOLDSMITH
United States District Judge

THE FOREGOING IS AGREED TO BY THE PARTIES BY AND THROUGH THEIR RESPECTIVE COUNSEL OF RECORD:

Respectfully submitted,

/s/Thomas J. Davis

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Exhibit A - Document Production Format

Both electronically stored information (“ESI”) and hard copy paper files should be produced in image format with the exception of certain ESI formats identified in the technical specifications below. Images shall be endorsed with a bates number at the page level accompanied with text files containing the searchable text and a delimited data Load File containing associated document information and metadata for each document. The original native files shall be preserved. Upon request, the producing party shall provide PDF format for images.

ESI shall be collected in a manner that preserves metadata to the extent reasonably possible. ESI shall be produced in a format that is reasonably usable to the same extent as the original native file.

The parties shall, to the extent feasible, de-duplicate globally (i.e., across all custodians). Duplicates shall be removed from all ESI productions in a manner that does not break up document families – in other words, emails shall be treated as duplicates only if they are identical both in their bodies and in all their attachments, and an email attachment shall not be treated as a duplicate merely because an identical copy of the document exists as a separate file. ESI duplicates shall be identified by using industry standard MD5 or SHA-1 algorithms to create and compare hash values for exact matches only. Any document containing handwritten notes, highlighting, alterations, or markings shall not be considered a duplicate of another version and, if responsive, must be produced as an original document. A duplicate custodian field [“DupCustodian”] shall be provided that identifies each custodian from whom the document was collected, including any custodians’ whose copy was removed because it was identified as a duplicate. Any duplicate ESI that is not produced shall be preserved.

Specific technical production format details:

- Native ESI, hard copy paper files, and PowerPoint files shall be converted to black and white 300 dpi TIF format image files with Group IV compression and one TIF file per page. All TIF images shall be processed to show and reveal track changes, comments, and hidden content in Word documents and speaker notes and hidden content in PowerPoint files. Upon written request, a producing party shall produce color images for selected documents provided the volume of documents selected for color production is reasonable. Documents produced in color shall be produced as JPEG images, 200 dpi or higher and 24-bit color depth. Each color document image file shall be named with the unique Bates Number of the first page of the document followed by the file extension “JPG”;
- For documents whose native format is a spreadsheet, database, audio or video, the original native files should be produced in addition to a single page TIF placeholder for each document. The placeholder should be endorsed with “Native Format Document,” and endorsed with the Bates number assigned to that document. The produced native file should be named with the Bates number assigned to that document. Any confidentiality or other designations stamped on the placeholder for such a document shall apply to the entire native file and its contents;

- Notwithstanding the above, original native files need not be produced for redacted database, audio, or video files;
- Each image file shall be named with its unique Bates number and branded with the Bates number and confidentiality designation (if any) on the face of the image in a location that does not cover up any of the document's original text or images;
- One text file per document which contains searchable text for the document shall be provided for all ESI and scanned paper documents. Extracted full text shall be provided for ESI, and OCR Text shall be provided for scanned paper. OCR generated text should only be used for ESI if Extracted Text is not available or if the document has been redacted. Text files shall be named with the beginning Bates number of the corresponding document and a path to the text file within the production should be provided in the data Load File;
- An image identification file shall be provided containing a row of information for every image included in the production. The format of the file should be industry standard IPRO format (LFP), Concordance Image format (OPT) or other delimited file format using common ASCII (American Standard Code for Information Interchange) characters for field identification that includes one row of information for each image with fields for image Bates number, relative path to the image, image filename, page number, and document start identifier to designate the first page of a document;
- All document information and metadata for each document (with the exception of information and metadata related to redacted information) shall be produced in a delimited data Load File with one row for each document produced and shall include the document information and metadata identified in the table below to the extent it is available. The list of fields in the table below does not create any obligation to create or manually code fields that are not automatically generated by the processing of ESI or that do not exist as part of the original metadata of the document. The format of the file shall be industry standard Concordance DAT file format or a delimited text file that uses ASCII character delimiters as follows: Field Delimiter = “” ASCII (020), Text Quote = “p” ASCII (254), Multi-Entry = “;” ASCII (059)

Document Information and Metadata To Be Produced

<i>Field</i>	<i>Data Type</i>	<i>Paper</i>	<i>Native Files & Email Attachments</i>	<i>Email</i>
ProdVolume	Integer-Text	Production volume	Production volume	Production volume
ProdBeg	Integer - Text	Starting Bates #	Starting Bates #	Starting Bates #
ProdEnd	Integer - Text	Ending Bates #	Ending Bates #	Ending Bates #

ProdBegAttach	Integer - Text	Starting bates # of document family	Starting bates # of document family	Starting bates # of document family
ProdEndAttach	Integer - Text	Ending bates # of document family	Ending bates # of document family	Ending bates # of document family
Custodian	Text	Name of person the document was collected from	Name of person the document was collected from	Name of person the document was collected from
DupCustodian	Text – paragraph Separate entries with “;”		All names of people the document was collected from even if removed from production as a duplicate	All names of people the document was collected from even if removed from production as a duplicate
Folder	Text		File path/folder structure for the original native file as it existed at the time of collection	File path/folder structure for the original native file as it existed at the time of collection. Should include full path and folder locations of email container files such as PST and NSF and the internal path of the email within those files
DeDuplicated_Paths	Text		All file path/folder structure for the original native file as it existed at the time of collection even if removed from production as a duplicate	All File path/folder structure for the original native file as it existed at the time of collection even if removed from production as a duplicate. Should include full path and folder locations of email container files such as PST and NSF and the internal path of the email within those files
From	Text - paragraph			Sender of message

To	Text – paragraph Separate entries with “,”			Recipients of message
CC	Text – paragraph Separate entries with “,”			Copied recipients
BCC	Text – paragraph Separate entries with “,”			Blind copied recipients
Subject	Text - paragraph			Subject of message
Date_Sent	Date (mm/dd/yy yy)			Date message sent
Time_Sent	Time (hh:mm:ss)			Time message sent
Date_Rcvd	Date (mm/dd/yy yy)			Date message received
Time_Rcvd	Time (hh:mm:ss)			Time message received
FileName	Text - paragraph		Name of original file including extension	Name of original file including extension
FileExtension	Text		Extension of original file	Extension of original file
Date_Created	Date/Time (mm/dd/yy yy)		Date file was created	
Date_LastMod	Date/Time (mm/dd/yy yy)		Date file was last modified	
Title	Text - paragraph		Title from document metadata	
Author	Text - paragraph		Document author from metadata	
Company	Text - paragraph		Document company or organization from metadata	

Confidentiality	Text	Any confidentiality designation asserted on the document	Any confidentiality designation asserted on the document	Any confidentiality designation asserted on the document
MD5 Hash	Text		MD5 or SHA-1 Hash Value of document	MD5 or SHA-1 Hash Value of document
Time Zone Field	Text		Time Zone used to process data	Time Zone used to process data
NativeLink	Text - paragraph		Path including filename to the associated native file if produced (Relative Path)	Path including filename to the associated native file if produced (Relative Path)
TextLink	Text - paragraph	Path including filename to the associated searchable text file (Relative Path)	Path including filename to the associated searchable text file (Relative Path)	Path including filename to the associated searchable text file (Relative Path)
Redacted	Text	Indicates whether the document contains redactions	Indicates whether the document contains redactions	Indicates whether the document contains redactions